<u>GENERAL GUIDELINES</u> <u>AND</u> PROFORMA FOR SUBMISSION OF PROPOSALS



Government of India
Ministry of Science & Technology
Department of Science & Technology
National Science & Technology Entrepreneurship Development Board
Technology Bhawan, New Mehrauli Road
New Delhi-110016

GENERAL GUIDELINES AND PROFORMA FOR SUBMISSION OF PROPOSALS

Introduction

The STED Project is a focused effort to bring about a socio-economic development of an area through the intervention of Science and Technology. It aims at inculcating strong entrepreneurial orientation in people that will result in effective utilization of resources both human and material of a region besides generating opportunities for employment and creation of wealth. The project envisages matching of the material and the human resources of the target area to create new enterprises and employment by usage of Science and Technology processes. It involves identification of opportunities through a detailed scientific survey and exploitation of the opportunities thus identified by the entrepreneurs.

One of the objectives of the STED Project is to raise the socio-economic levels of the economically weaker sections of the population in the region by enhancing their earning potential through self employment. This is done by helping them to set up micro-enterprises through a process of empowering them with technical knowledge and skills besides business related skills. With technological advancement becoming the yardstick for measuring any kind of organizational progress, it is the endeavor of DST and its associates to promote enterprises that would have a strong knowledge and technical base.

Objectives of STED Project

The broad objectives of the project are:-

- ❖ To identify feasible resource based projects in the region covering both rural and semi-urban areas.
- ❖ To identify likely S&T interventions (technology selection, technology upgradation/ modification, and information dissemination) for exploiting the opportunities by prospective entrepreneurs.
- ❖ To improve working of existing enterprises through S&T intervention (upgrade technology, modernisation of units)
- ❖ To regularly organise enterprise awareness programmes and skill development programmes for creating suitable entrepreneurial environment in the target area.
- ❖ To launch at least 200 micro-enterprises in the selected location during four years of operation of STED Project.

Eligibility for Proposal Submission

Any recognised educational or research institution, professional body or reputed agencies both governmental and non-governmental with a proven track record in promotion of micro-enterprise and entrepreneurship.

Target Group

The target group would be essentially unemployed youth who may or may not possess necessary skill to launch a micro-unit but have the necessary desire and aptitude for such venture.

Implementation Mechanism

The host institution (HI) or implementing agency (IA) identifies amongst others 3-4 specific technology areas, which are specific to the location of STED project implementation. Based on this identification the HI / IA prepares the opportunities available in these technology areas indicating the source of technology and likely collaboration with the technologies, if any. Host institution should ensure that project Director and other project staff are stationed in the STED project location.

The broad mechanism to be adopted for implementation are:-

- Market survey for identification of resources of the region which include
 - o Man
 - Material
 - o Market
 - Methods (Science)
 - o Mechanics & Mechanism (Technology)
- Preparation of opportunities profiles.
- Identification & counseling of potential entrepreneurs.
- Training of entrepreneurs.
- Providing escort services to the potential entrepreneurs
- Counseling the existing enterprise for technology upgradation and modernisation.

Linkages

For successful and effective implementation linkages need to be established with reputed national/regional/local level R&D institution for sourcing technologies and upgradation of existing technologies. Institutions like KVIC,SIDBI, NABARD and the Lead banks need to be involved right from the training stages for better acceptability of the project proposal amongst the financial institutions at the time of sanctioning and disbursing the loan. The STED Project need to function closely with the district administration without whose involvement and intervention it may not be possible to produce desired results. Linkages with other schemes and programmes of NSTEDB viz. the Central and State Government are required to be established for availing benefits of various Government schemes.

Evaluation and Monitoring

The STED Project is a four-year project with the financial support from NSTEDB. However, the continuation of project and annual funding is entirely performance oriented. The performance is monitored both in quantitative and qualitative aspect. The

Expert Advisory Committee on STED Project evaluates the performance and monitors the progress of the project. As and when it is felt necessary, site visit by a team constituted by the NSTEDB Secretariat may be sent for monitoring the project. In case of significant shortfall in achievement of target the project may also be terminated midterm.

Submission of Proposal

Proposal in prescribed proforma along with necessary enclosures and endorsement from the Head of the Agency/Institution may be sent to the following addressee:-

The Member Secretary,
National Science & Technology Entrepreneurship Development Board,
Department of Science & Technology,
Technology Bhawan,
New Mehrauli Road,
New Delhi-110016.

Tel: (011) 26590213 TELEFAX: 26517186 E-mail: anigupta@nic.in

Web: www.nstedb.com, www.techno-preneur.net

TERMS AND CONDITIONS FOR GRANTS-IN-AID FOR STED PROJECT

- 1. The grant being released should be exclusively spent on the specified purpose for which it has been sanctioned within the stipulated time. Any unspent balance out of the amount sanctioned would be refunded to the Govt. of India by means of an Accounts Payee Demand Draft drawn in favour of Drawing & Disbursing Office, Department of Science & Technology payable at New Delhi.
- 2. The institute is required to send i) progress report; ii) audited statement of accounts relating to the amount sanctioned; and iii) utilization certificate to Department of Science and Technology at the end of each financial year as well as at the time of seeking further instalments of the grant, if any.
- 3. All the assets acquired or created from the grant will be the property of the Govt. of India and should not without the prior sanction of the Department of Science and Technology be disposed of or encumbered or utilised for purpose other than those for which the grant has been sanctioned.
- 4. At the conclusion of the project, the Govt. of India will be free to sell or otherwise dispose of assets which are the property of Govt. The institution shall tender to Govt. necessary facilities for arranging the sale of these assets.
- 5. Appropriate persons may visit the institute periodically for ascertaining the progress of work and resolving any difficulties that might be encountered in the course of implementation. During the progress of the project the institute will provide all facilities to the scientists/ specialists by way of accommodation, etc.
- 6. The institute shall furnish to Department of Science and Technology, utilisation certificate and an audited statement of accounts pertaining to the grant within six months of the completion of the project.
- 7. The Comptroller and Auditor General of India at his discretion shall have the right of access to the book and accounts of the institute for the grant received from the Government.
- 8. The institute will maintain separate audited accounts for this project. If it is found expedient to keep a part or whole of the grant in a bank account earning interest, the interest earned should be reported to the Department of Science and Technology. The interest thus earned will be treated as a credit to the institute to be adjusted towards further instalments of the grant, if any.
- 9. Sale proceeds, if any, as a result of the development of the project arising directly from funds granted shall be remitted to the Govt. of India. The Govt. of India may at its discretion allow a portion of such receipts to be retained by the institute.
- 10. The institute must not entrust the implementation of the work for which the grant is being sanctioned to another institution and to divert the grant receipts as

assistance to the latter institution. In case the institute itself is not in a position to execute or complete the project, it may be required to refund forthwith the Govt. of India, the entire amount of grant-in-aid received by it.

- 11. NSTEDB/DST reserves the right to terminate support to the project at any stage, if it is convinced that the grant is not being utilized properly or that appropriate progress in the project work is not being made.
- 12. Where support has been provided by NSTEDB/DST under specific conditions accepted by a society/institution, the society/institution shall, if it does not comply with these conditions, be liable to refunding the grant already received in such a manner as may be required by the Government and no further NSTEDB/DST grant will be allowed to such a society/institution.
- 13. NSTEDB/DST will not have any liability towards the manpower appointed by the grantee institution for implementation of the project.

ENCLOSURES TO BE SUBMITTED WITH THE PROPOSAL BY NGOS

Registration Certificate One Copy
 Memorandum of Association One Copy
 Audited statement of accounts for the last three years One Copy
 Annual reports for the last three years One Copy

PROFORMA FOR SUBMISSION OF PROPOSAL

(Year: 200 - 200)

١.	Name of the Organisation	:
	Address	:
	Phone	:
	Fax	:
	F-mail	

- 2. Validity date of Registration (In case of NGOs):
- 3. Name & designation of the Head of the Organisation:
- 4. Name, Designation & Address of the Project Director (Attach a brief bio-data):
- 5. Background of the Organisation:
- (a) Past experience in micro-enterprise and entrepreneurship development (details of work done in other areas need not be given)
- (b) Infrastructure facilities and expertise available (give details):
- (c) Details for completed and ongoing Projects during last three years

Sl.	Title of the	Start date –	Name and	Amount	Amount
No.	Project	Completion date	full address	Sanctioned*	Received*
			of Funding		
			Agency		

^{*} Enclose copies of the sanction orders

- 6. District/Area to be taken up for STED project :
- 7. Map of the area proposed:

8. Profile of the District/Area:
 (a) Geo-physical (b) Natural/Mineral Resources (c) Socio-economic (d) Industry (e) Artisanal skills/Craft etc. (f) Academic/R&D/Technical Institutions
9. If any developmental activity has been done in the proposed District/Area prior to submission of this proposal by the Project Coordinator or the Organisation, the details may be given in brief.
10. Details of infrastructure of the organization in the proposed District/Area:
11. Justification for the project based on the assessment of the existing resources and infrastructure
12. Potential sectors identified for promotion of micro-enterprises.
13. Scientific and Technical interventions envisaged
14. Training programmes envisaged
15. Linkages envisaged
16. Implementation methodology in brief
17. Follow-up Mechanism
18. Expected outcome of the project:
19. Exit Strategy (Plan after completion of the project for sustainability and continuity)
20. Any other information/remarks:

21. Budget

Sl. No.	Item of	Ist Year	IInd	IIIrd	IVth	Total
	Expenditure		Year	Year	Year	
1	Manpower*					
2	Travel					
3	Training					
	Programmes*					
4	Contingencies					
	Total					

^{*} Budget break-up of manpower and training programmes to be given on a separate sheet

22. Submission of Progress Report:

- (i) A quarterly report not exceeding two pages highlighting the major activities to be submitted.
- (ii) A detailed progress report on various activities carried out by the STED project is required to be submitted annually along with the data on micro-enterprises promoted in the prescribed formats (Proforma-I, III and IV). Details of the units launched to be submitted separately in Proforma-II.

Name & Signature of the Head of the Institution/Agency

Name & Signature of the Project Director

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Ρl	a	Сŧ	e:

CERTIFICATE

ENDORSEMENT FROM THE HEAD OF THE INSTITUTION

- 1. We have gone through and agree to abide by the terms and conditions of the NSTEDB/DST grant.
- 2. We have not submitted, nor do we intend to submit this, or a similar project proposal, to any other agency for financial or other support. In case we get the support, we will keep the DST informed.
- 3. We undertake to submit progress reports, statement(s) of accounts, utilisation certificates, etc. as required.
- 4. Certified that Dr/Shri/Smt is the Project Director of the proposed STED Project. The Project Director will assume the responsibility of fruitful completion of the project.
- 5. Certified that the hardware, other basic facilities and such other administrative support required as per terms and conditions of the grant, will be extended to the Project Director throughout the duration of the project.
- 6. Our agency assumes to undertake the complete financial and other management responsibilities of the project, and will ensure compliance with the terms and conditions laid down.
- 7. Certified that the Society/Organisation or any of its functionaries is not and has never been involved/implicated in any corrupt practices.
- 8. If any of the above statements found to be incorrect by the NSTEDB/DST at any point of time, the organization takes the responsibility to refund the entire amount released by the NSTEDB/DST.

Date	Name & Signature of the
Place	Head of Agency

PROFORMA-I

	CONSOLIDA	TED ANNU	JAL PROG	RESS	
ED LOCATION					_
TE OF SANCTION OF	THE PROJECT:				
	No. OF UNITS L	AUNCHED /	JOBS GENER	RATED	
	YEAR I	YEAR II	YEAR III	YEAR IV	TOTAL
UNITS JOBS					
			·		
ovative/knowledge-bas ts promoted	ed/Technology or	iented			
ovative/knowledge-bas ts promoted	ed/Technology or	iented			
	ed/Technology or	iented			
	ed/Technology or	iented			
ts promoted		iented			
		iented			
ts promoted		iented			
ts promoted		iented			
ts promoted		iented			
ts promoted		iented			

PROFORMA-II

DETAILS OF THE UNITS LAUNCHED
NAME OF ENTREPRENEUR
NAME OF ENTERPRISE
ADDRESS
Phone/Mob. No.
TYPE (MFG/SERVICE/OTHERS) {Give details of the product developed/service provided}
PROJECT COST
FUNDING OWN (Rs)
LOAN (Rs)
INCOME PER MONTH
NO OF EMPLOYEE
CONTRIBUTION OF STED PROJECT AND EXTENT OF S&T INPUT
PHOTOGRAPH OF THE UNIT
PASTE A GOOD QUALITY PHOTOGRAPH SIZE 4'' X 6''

PROFORMA-III

	IISSION OF DATA F	OR ANALYSIS	
UNITS LAUNCHEI	D SECTOR WISE	UNITS LAUNCHED	INVESTMENT WISE
ТҮРЕ	No. of Units	AMOUNT OF	No. of
		INVESTMENT Rs	Units
MANUFACTURIN(G		
SERVICE		upto 10K	
OTHERS		10K to 25K	
TOTAL		25K to 50K	
		50K to 100K	
		above 100K	
		TOTAL	
SOU	RCE OF FUND	SOURCE OF L	OAN
SOURCE	No. of Units	SOURCE N	o of Units
OWN FUND		PMRY &	
LOAN		other Govt.	
TOTAL		Schemes	
		Banks &	
		Fis	
		Fis	
UNITS LA	UNCHED AGE WISE	UNITS LAUNCHED CAT	EGORY WISE
	UNCHED AGE WISE No. of UNITS	UNITS LAUNCHED CAT	EGORY WISE
AGE GROUP		UNITS LAUNCHED CAT	
AGE GROUP 18-25		UNITS LAUNCHED CAT	
AGE GROUP 18-25 25-35		UNITS LAUNCHED CAT CATEGORY N GENERAL	
AGE GROUP 18-25 25-35 35-50		UNITS LAUNCHED CAT CATEGORY GENERAL SC	
AGE GROUP 18-25 25-35 35-50 above 50		UNITS LAUNCHED CAT CATEGORY N GENERAL SC ST	
AGE GROUP 18-25 25-35 35-50 above 50 TOTAL		UNITS LAUNCHED CAT CATEGORY N GENERAL SC ST OBC	
AGE GROUP 18-25 25-35 35-50 above 50 TOTAL	No. of UNITS	UNITS LAUNCHED CAT CATEGORY N GENERAL SC ST OBC	
AGE GROUP 18-25 25-35 35-50 above 50 TOTAL UNITS LAUN	No. of UNITS	UNITS LAUNCHED CAT CATEGORY N GENERAL SC ST OBC	
AGE GROUP 18-25 25-35 35-50 above 50 TOTAL UNITS LAUN GENDER	No. of UNITS	UNITS LAUNCHED CAT CATEGORY N GENERAL SC ST OBC	

PROFORMA-IV

SUCCESS STORIES

NAME OF ENTREPRENEUR

ADDRESS WITH TEL NO.(if any)

PRODUCT / SERVICES

TOTAL INVESTMENT in Rs

SOURCE OF FUNDING OWN

BANK / FI LOAN

GOVT SCHEMES LIKE PMRY ETC

BRIEF BACKGROUND what he was before STED Proejct converted him into an

entrepreneur

STED Project ROLE Intervention of STED Project, Problems faced by entrepreneur

How the problems were tackled. This section should bring out

clearly the role played by STED Project

CURRENT STATUS Growth from the initial stages and other relevant details

FUTURE PLANS Future plans for growth and diversifications

The above is just indicative. You may furnish more information should you feel so.

Please note that with each success story / case study you must submit a very good quality photograph of min. 4" X 6" size. The same would be hosted on the website. Hence the information needs to submitted only when a technically sound / innovative unit is launched or where the role of STED Project in bringing a transformation is visible.